

*Department of Children, Youth
and Families*

*FY 2013 Revised & FY 2014 Operating Budgets
FY 2014 – FY 2018 Capital Budget*

Staff Presentation
March 28, 2013

Mission

Ensure that the safety, permanency and well-being of all children and youth is achieved and maintained through an integrated System of Care. Through this System of Care, all children, youth and their families shall have access to the resources to develop the skills and behaviors to maintain safe, healthy families, achieve permanency, and successfully transition to adulthood.

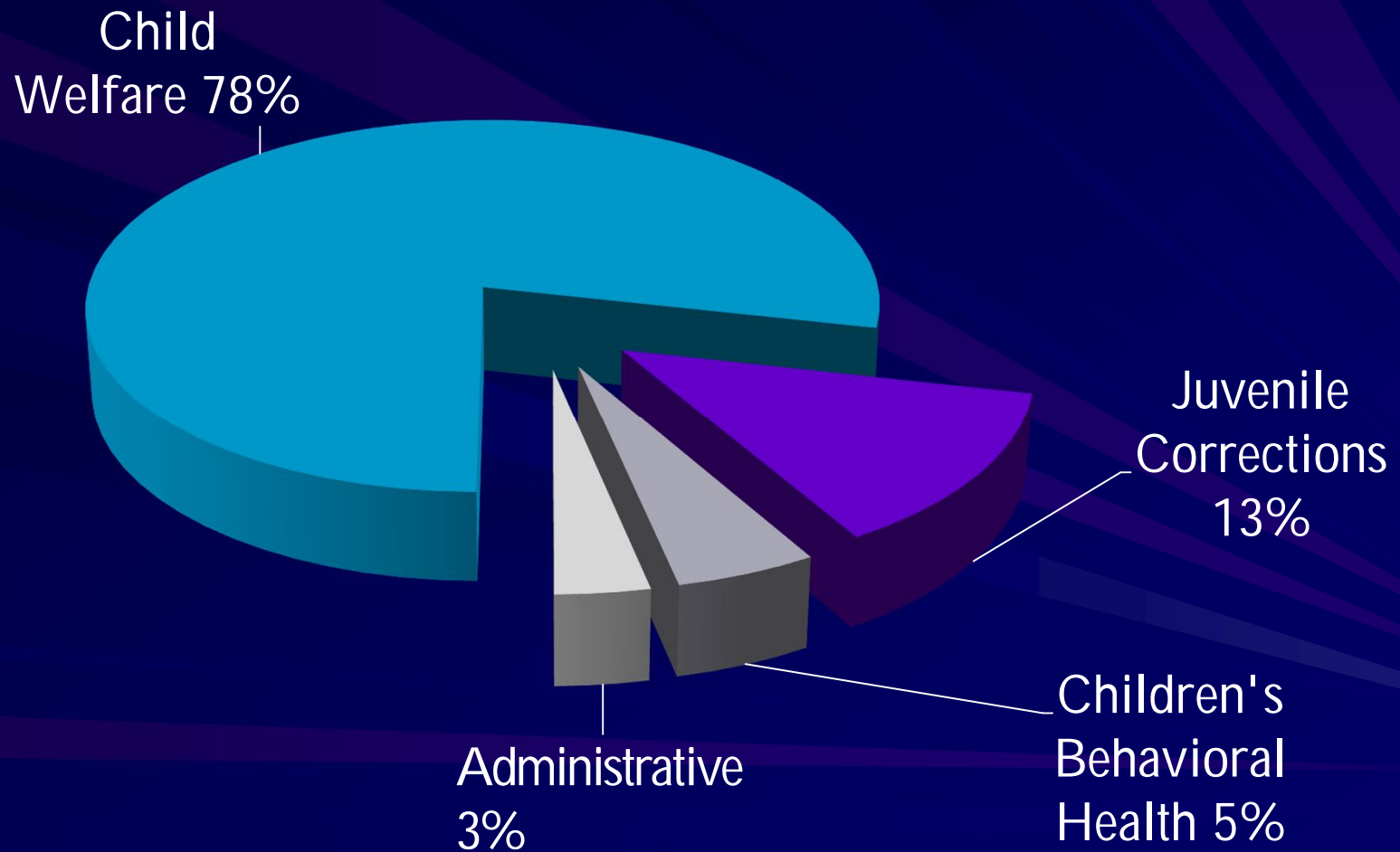
Program Areas

- Child Welfare
- Behavioral Health
- Juvenile Corrections
- Administrative Functions
 - Central Management
 - Higher Education Incentive Grants

Target

- Agencies were given current services budget and instructed to submit a budget that offers a 7 percent reduction
 - \$10.8 million reduction to current services
 - Partially met “constrained” target through a proposal to reduce the System of Care contract and a plan to charge local school districts for training school placements
 - \$9.0 million in reductions
 - Governor did not recommend these proposals

Program Areas



Child Welfare

■ Child Protective Services

- Investigate child abuse and neglect cases
- Handle intake of all child abuse, non-child abuse, and neglect cases

■ Family Service Regions

- Provide ongoing social services and case management to children and families
- Regional offices in Bristol, Providence and Wakefield

Child Welfare

■ Foster Care

- Permanent and long term placement for children
- Administration and foster care payments to providers and individuals
- Support services for children with special needs

■ Permanency Services Unit

- Arrange and negotiate subsidy payments
- Short term services after adoption is finalized
- Refers families to appropriate community providers

Behavioral Health

- Responsible for the design, implementation and monitoring the System of Care
 - Includes children who have been abused, neglected, or delinquent
 - Includes children who are seriously emotionally disturbed
- Initial assessment and clinical services through this division

Juvenile Corrections

- Rhode Island Training School for Youth
 - Two highly structured, secure facilities for youth placed by order of the Family Court on a finding of waywardness or delinquency
 - RI General Law sets population cap at 160
 - 148 boys and 12 girls
 - 24 hour/365 day residential school providing housing, food services, clothing, medical care, education and assessments
- Current Census: 110

Juvenile Corrections

- Juvenile Probation and Parole
 - Supervises adolescents placed on probation by Family Court
- Community based and residential care for adjudicated juveniles
 - Less secure and structured
 - Provided through System of Care
- Current Census: 716

Administrative Functions

■ Central Management

- Provide fiscal management and accountability supports, legal services and licensing

■ Higher Education Incentive Grants

- Provide former foster care youth with access to postsecondary education through financial assistance and leveraging support services at in-state and private schools
- Under RIGL 42-72.8

Sequestration

- The Department receives \$55.1 million from federal sources
 - \$44.5 million are Medicaid, TANF and Title IV-E which are not susceptible to sequester cuts
 - 13 federal grants totaling \$3.9 million grants affected
 - DCYF assumes 5.9% reduction

Sequestration

<i>Program</i>	FY 2013	5.9% Reduction
Child Welfare	\$1,828,304	(\$107,870)
Behavioral Health	1,950,977	(115,109)
Juvenile Corrections	159,513	(9,411)
Total	\$3,938,794	(\$232,390)

Summary by Source

<i>Millions</i>	FY 2013 Enacted	FY 2013 Gov. Rev.	Chg	FY 2014 Gov. Rec.	Chg
Gen Rev	\$152.6	\$152.0	(0.6)	\$152.9	\$0.3
Fed Funds	58.4	55.1	(3.3)	54.2	(4.2)
Restricted Receipts	2.8	2.5	(0.3)	2.6	(0.2)
RICAP	2.8	3.5	0.7	1.6	(1.2)
Total	\$216.6	\$213.1	(\$3.5)	\$211.3	(5.3)
FTE	665.5	671.5	6.0	670.5	5.0

Summary by Category

<i>Millions</i>	FY 2013 Enacted	FY 2013 Gov. Rev.	Chg	FY 2014 Gov. Rec.	Chg
Sal & Ben	\$69.2	\$67.2	(\$2.0)	\$69.8	\$0.6
Contracted	5.2	4.8	(0.4)	4.3	(0.9)
Operating	7.8	7.3	(0.5)	6.7	(1.1)
Grants	131.7	130.0	(1.7)	128.8	(2.9)
Capital	2.8	3.8	1.0	1.7	(1.1)
Total	\$216.6	\$213.1	(\$3.5)	\$211.3	(\$5.3)
FTEs	665.5	671.5	6.0	670.5	5.0

General Revenue Savings

- FY 2013 Governor recommends \$1.4 million:
 - Turnover \$1.3 million general revenues
 - Primarily from Child Welfare and Juvenile Corrections
 - Wickford Office: \$0.2 million
- FY 2014 Governor recommends \$1.5 million:
 - Bradley Hospital contract reduction: \$0.3 million
 - Parenting with Love and Limits: \$0.2 million
 - Wickford and Bristol office closing: \$0.5 million

General Revenue Savings

- FY 2014 Governor Recommends:
 - In-state mileage reduction: \$0.2 million
 - RITS operating reduction: \$0.1 million
 - Unspecified reductions \$0.2 million

Youth in Care

- Placements divided into three categories:
 - System of Care Residential
 - Operated by two provider networks
 - Placements by networks and DCYF
 - Generic (non-specialized) foster care is still administered by the Department
 - Agency-operated foster care and adoption assistance
 - Training School Youth
 - Youth currently serving at training school

Youth in Care: System of Care

Service Category	6/30/2012	3/13/2013	Chg
Residential Treatment Center	144	158	14
Group Homes	264	251	(13)
Specialized Foster Care	276	275	(1)
Independent Living	13	15	2
Semi Independent Living	36	34	(2)
Emergency Shelter	56	56	-
Total	789	789	-

Youth in Care: Foster Care

<i>(Average)</i>	FY 10	FY 11	FY 12	FY 13*
Relative	446	505	492	467
Non-Relative	451	425	422	358
Court Ord. Relative	34	17	15	5
Court Ord. Non-Rel.	1	1	1	0
Total	932	948	930	830

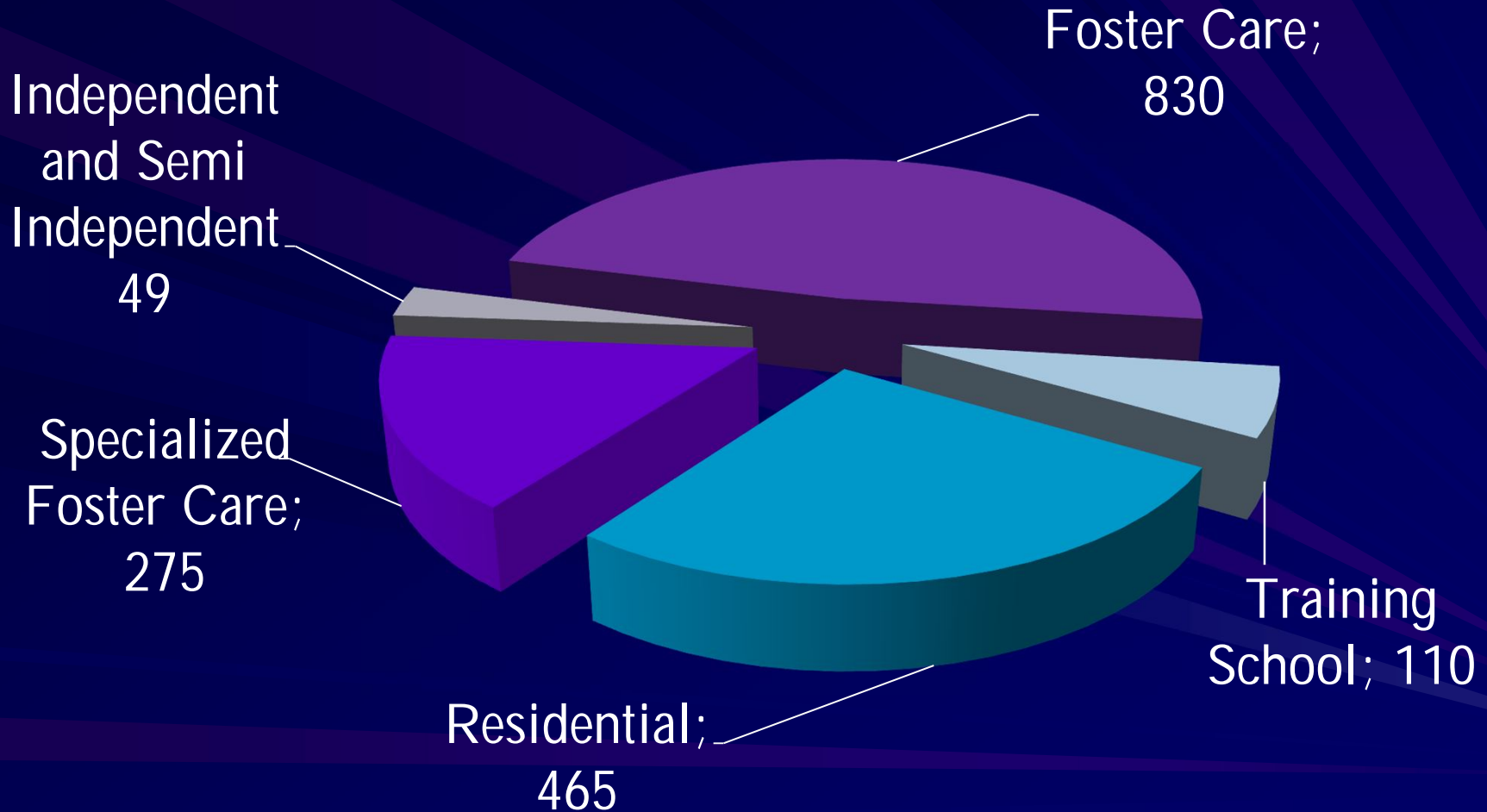
** Average Daily Placements through March 1, 2013*

Youth in Care: Juvenile Corrections

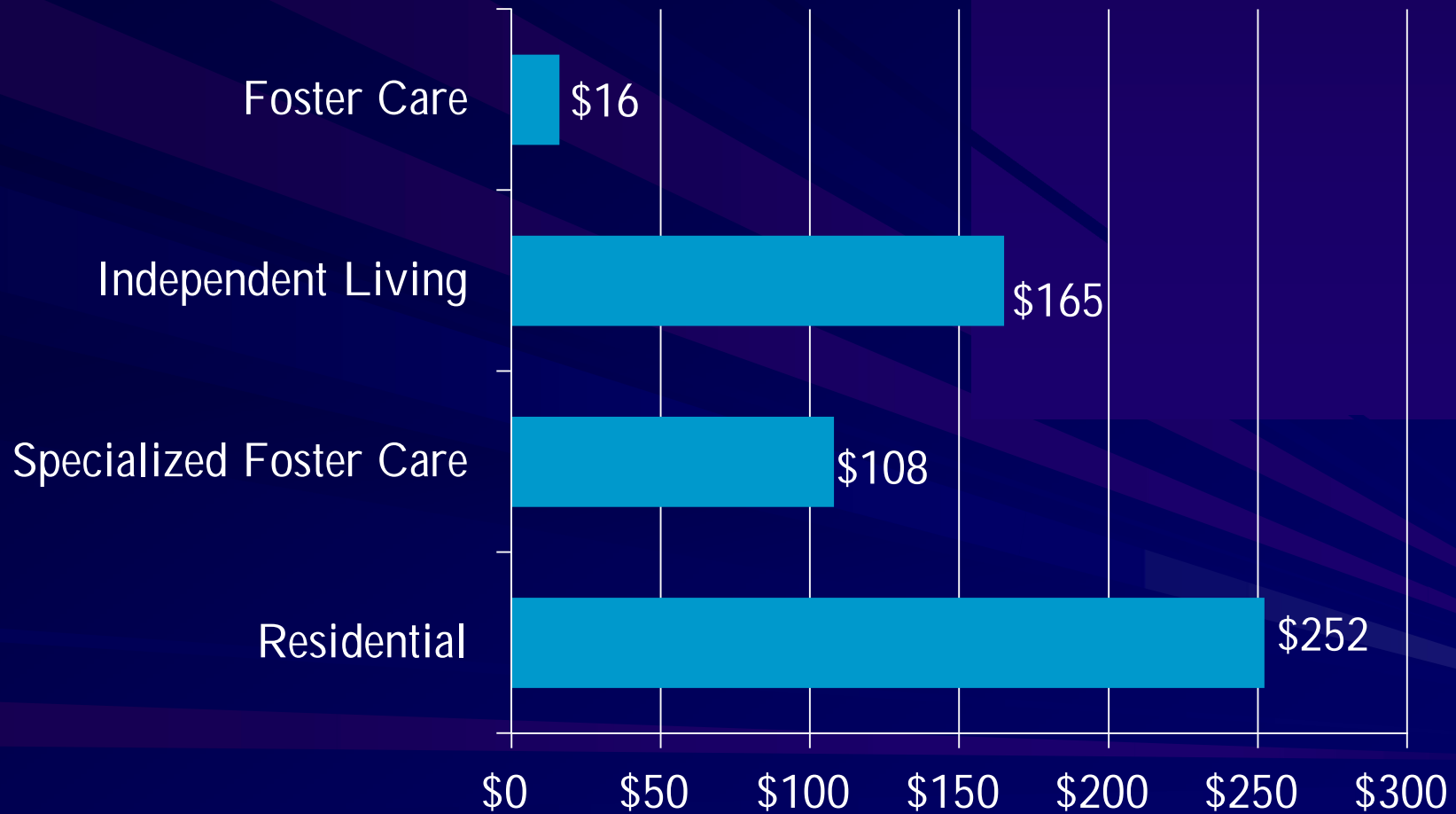
Service Category	FY 2012	FY 2013*	Change
Training School	131	110	(21)
Probation and Parole	786	716	(70)
Total	917	826	(91)

** Average Daily Placements through March 1, 2013*

Youth in Care – Service Type



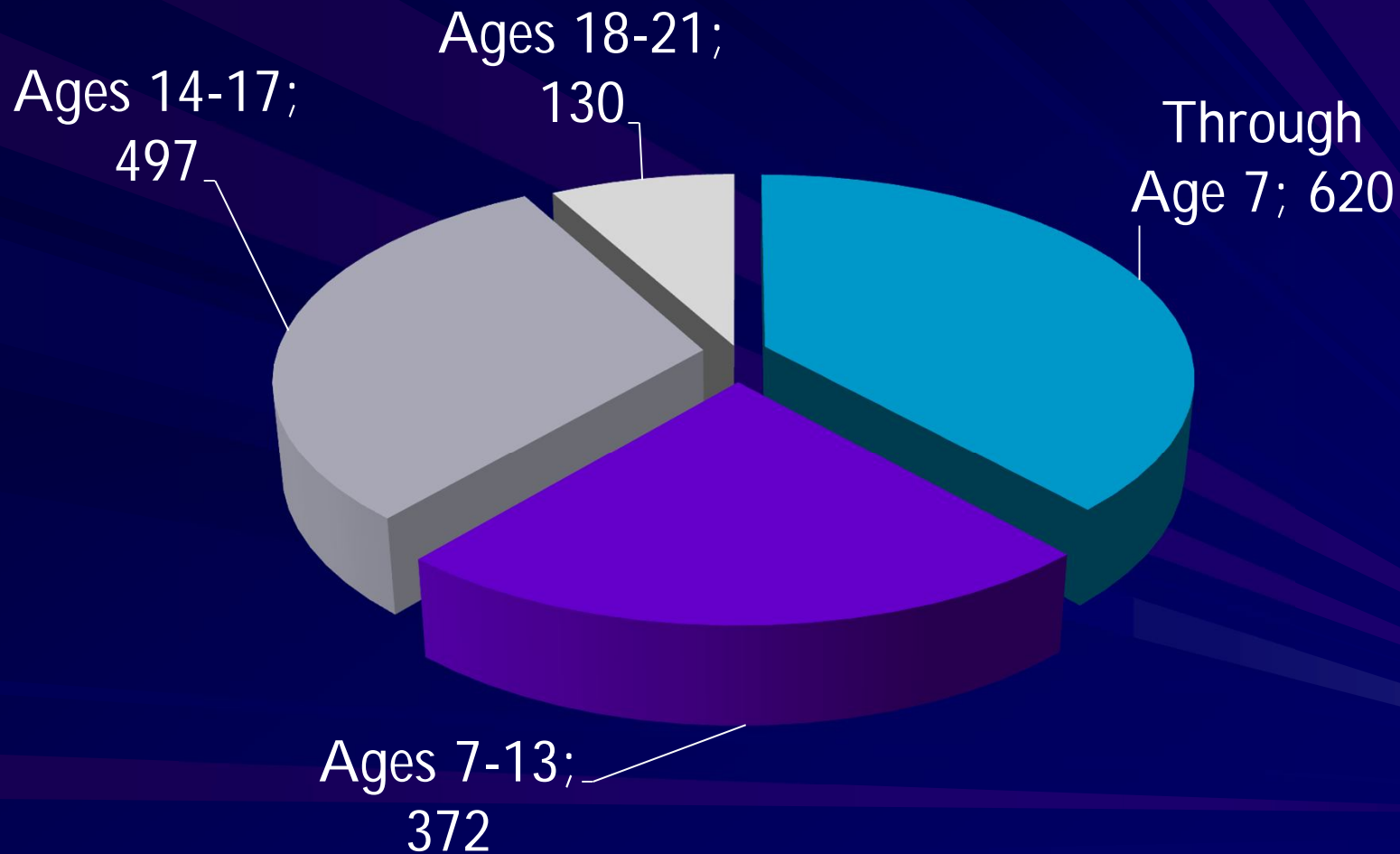
Youth in Care – Per Diem Rate



Youth in Care

- Per diem rates for services within System of Care are determined by the Networks, not the Department
 - Ocean State Care Management
 - Rhode Island Care Management
- Appear to be based on FY 2012 Department rates

Youth in Care – By Age



General Revenue Shortfalls

Placements and Services	Gen Rev	Chg to Enacted	All Funds	Chg to Enacted
FY 2010	\$97.5	\$1.5	\$158.0	(\$4.0)
FY 2011	\$98.2	\$3.2	\$157.8	\$7.0
FY 2012	\$95.8	\$3.8	\$136.0	(\$1.9)
FY 2013 HFAS Q1	\$95.8	\$1.8	\$131.7	\$1.8
FY 2013 Gov. Rec.	\$94.0	\$0.9	\$129.9	(\$1.7)

General Revenue Shortfall

- House Fiscal Staff had estimated a \$1.8 million shortfall in FY 2013 1st Quarter Report
 - Based on FY 2012 spending and prior history of deficits
- The Governor recommends \$0.9 million more for placements and services in FY 2013 and \$0.7 million more for FY 2014 but does not specifically address the historical shortfall issue
- Assumes DCYF manages to its appropriation level

Governor's Recommendation

<i>Millions</i>	FY 2013 Enacted	FY 2013 Gov. Rev.	Chg	FY 2014 Gov. Rec.	Chg
Sal & Ben	\$69.2	\$67.2	(\$2.0)	\$69.8	\$0.6
Contracted	5.2	4.8	(0.4)	4.3	(0.9)
Operating	7.8	7.3	(0.5)	6.7	(1.1)
Grants	131.7	130.0	(1.7)	128.8	(2.9)
Capital	2.8	3.8	1.0	1.7	(1.1)
Total	\$216.6	\$213.1	(\$3.5)	\$211.3	(\$5.3)
FTEs	665.5	671.5	6.0	670.5	5.0

Changes to Enacted

	FY 2013		FY 2014	
<i>(Millions)</i>	Gen Rev	Total	Gen Rev	Total
<i>Enacted</i>	\$152.6	\$216.6	\$152.6	\$216.6
<i>Placements</i>	0.9	(1.8)	0.7	(2.8)
Staffing	(1.3)	(1.5)	0.5	1.1
Juvenile Corrections	0.1	0.3	(0.1)	(0.2)
Other	(0.2)	(0.6)	(0.9)	(3.4)
Total	(\$0.6)	(\$3.6)	\$0.3	(\$5.3)
<i>Governor Rec.</i>	\$152.0	\$213.1	\$152.9	\$211.3

Placements

- System of Care Transformation
- Placements – Child Welfare and Behavioral Health
 - Specialized Foster Care
 - 18 to 21 Year Olds
 - Community Based Services
 - Residential Placements

Placements: Changes to Enacted

	FY 2013		FY 2014	
(Millions)	Gen Rev	Total	Gen Rev	Total
<i>System of Care</i>	-	-	<i>0.6</i>	<i>0.3</i>
18 to 21s	0.5	0.2	0.5	0.2
Foster Care	0.4	(2.2)	(0.0)	(3.0)
Community Based	0.0	0.0	(0.4)	(0.3)
Total	\$0.9	(\$2.0)	\$0.7	(\$2.8)

System of Care

(Millions)	FY 13 Enacted	FY 13 Gov. Rev.	FY 14 Gov. Rec.	Change
Gen. Revenues	\$55.8	\$55.8	\$56.4	\$0.6
Federal Funds	15.6	15.6	15.3	(0.3)
Total	\$71.4	\$71.4	\$71.7	\$0.3

System of Care

- Phase 1 - Family Care & Community Partnerships
 - Focuses on providing least restrictive, family-based services based on informal support networks
 - Reduces the need for DCYF intervention
 - Reduces utilization of more costly and intensive residential treatment level of care

System of Care

- Phase 2 - Examine services to families & children
 - Reduce lengths of stay
 - Increase effectiveness of treatment interventions
 - Ensure more effective transitions to community-based services
 - Increase the availability of community based services for families
 - Reduce children in out of home care

System of Care

- Child Welfare Program
- Community based and residential
 - Includes long term care, foster care, adoption, emergency shelters, group homes, counseling, independent living programs
- Children who are:
 - Abused, neglected, dependent, at-risk, seriously emotionally disturbed

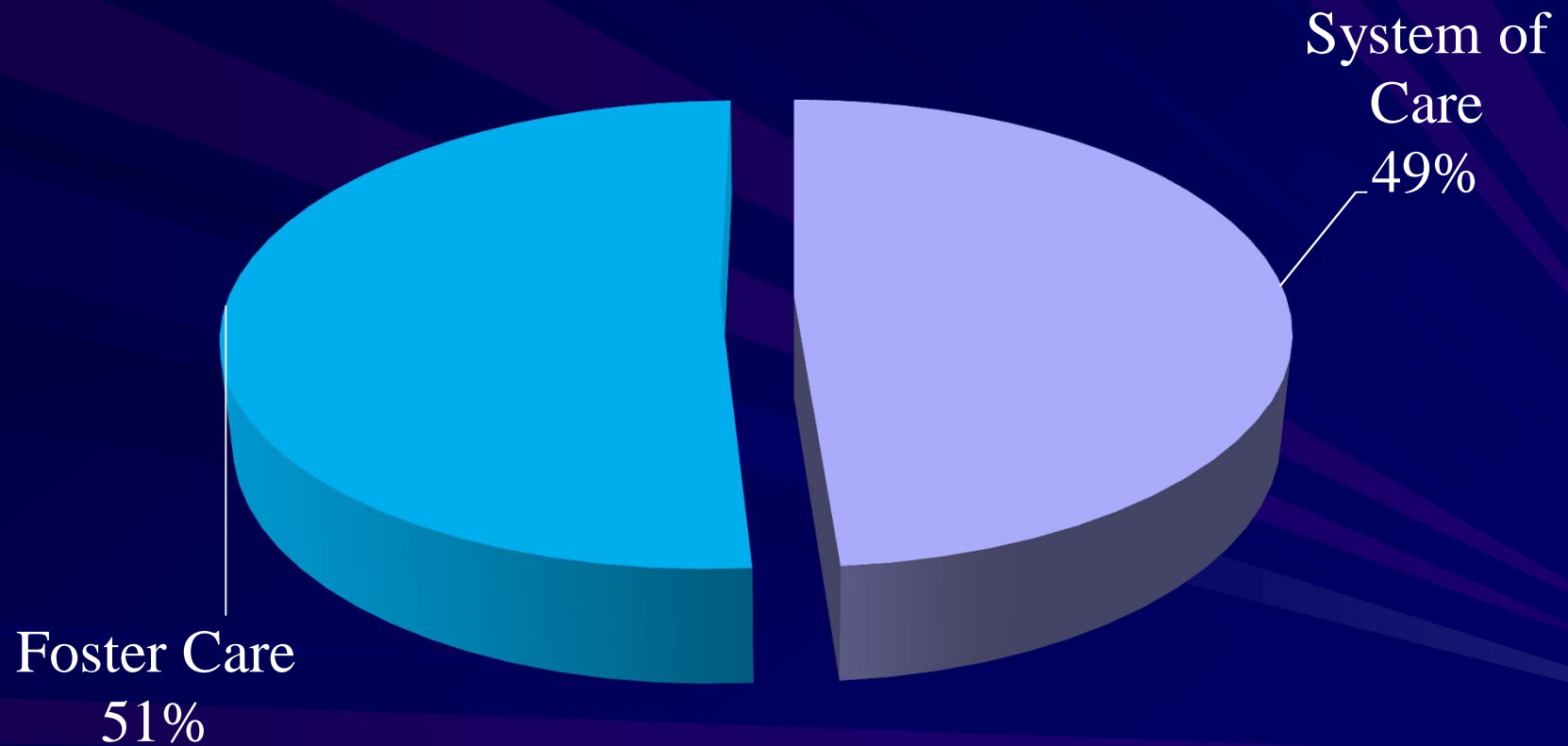
System of Care

- FY 2013 enacted budget includes \$71.3 million for the System of Care
- Two Networks:
 - Ocean State Care Management - \$35.7 million
 - Rhode Island Care Management - \$35.7 million
- FY 2013 Revised: Consistent with enacted
- FY 2014 Recommendation: Includes \$0.3 million more than enacted, \$0.6 million more from general revenues

System of Care

- FY 2014: The Department's constrained request included \$6.9 million less than enacted from general revenues
 - 9.6 percent reduction to System of Care
 - The Governor did not recommend reducing the System of Care contract

Youth in Care – Placement Type



System of Care: Youth in Care

Service Category	6/30/12	3/13/13	Change
Residential Treatment Center	144	158	14
Group Homes	264	251	(13)
Specialized Foster Care	276	275	(1)
Independent Living	13	15	2
Semi Independent Living	36	34	(2)
Emergency Shelter	56	56	-
Total	789	789	-

18 to 21 Year Olds

- Youth with serious emotional disturbances
 - Continue to receive services consistent with service plans
 - Total Cost: \$11.1 million
- Aftercare services for youth in out-of-home placements: YESS Program
 - \$2.0 million
 - Accessing housing, employment, post-secondary education, medical insurance coverage

18 to 21 Year Olds

- Current Population: 185 youth (as of 3/14/2013)
 - FY 2010: 241
 - FY 2011: 234
 - FY 2012: 212

<i>Fiscal Year</i>	2010	2011	2012
Avg. # of Youth	241	234	212
Cost per Day	\$144	\$140	\$151
<i>Annual Cost (mill.)</i>	\$12.7	\$12.0	\$11.7

Placements: Changes to Enacted

	FY 2013		FY 2014	
(Millions)	Gen Rev	Total	Gen Rev	Total
System of Care	-	-	0.6	0.3
18 to 21s	0.5	0.2	0.5	0.2
<i>Foster Care</i>	<i>0.4</i>	<i>(2.2)</i>	<i>0.0</i>	<i>(3.0)</i>
Community Based	0.0	0.0	(0.4)	(0.3)
Total	\$0.9	(\$2.0)	\$0.7	(\$2.8)

Foster Care and Adoption

■ Foster Care

- Short term foster care assistance
- Payments made to foster parents
- Includes foster care with relatives

■ Guardianship and Adoption Assistance

- Adoption and guardianship payments
- Payments made to foster parents and guardians

Foster Care and Adoption

<i>(Average)</i>	FY 10	FY 11	FY 12	FY 13*
Relative	446	505	492	467
Non-Relative	451	425	422	358
Court Ord. Relative	34	17	15	5
Court Ord. Non-Rel.	1	1	1	0
Total	932	948	930	830

** Average Daily Placements through March 1, 2013*

Foster Care and Adoption

<i>(Average)</i>	FY 13*	Cost Per Day	Annual Cost
Relative	467	\$7,117	\$2,597,705
Non-Relative	358	6,119	2,233,435
Court Ord. Relative	5	80	29,200
Total	830	\$13,316	\$4,860,340
<i>* Placements through March 1, 2013</i>			

Placements: Changes to Enacted

(Millions)	FY 2013		FY 2014	
	Gen Rev	Total	Gen Rev	Total
System of Care	-	-	0.6	0.3
18 to 21s	0.5	0.2	0.5	0.2
Foster Care	0.4	(2.2)	(0.0)	(3.0)
<i>Community Based</i>	<i>0.0</i>	<i>0.0</i>	<i>(0.4)</i>	<i>(0.3)</i>
Total	\$0.9	(\$2.0)	\$0.7	(\$2.8)

Community Based Services

- Wraparound services through global waiver
 - Targets youth with emotional disturbances returning home from Training School
 - Families at risk for DCYF involvement
- FY 2013: \$9.4 million from all sources
 - \$2.5 million from general revenues
- FY 2014: \$9.6 million from all sources
 - \$2.5 million from general revenues

Community Based Services

- Parenting with Love and Limits: Family-based re-entry program for youth returning home from out of home placement or training school
- Enacted: \$0.4 million general revenues
- FY 2014 Gov. Rec: \$0.4 million, \$0.2 million general revenues
 - Claiming under Medicaid rehabilitation option for \$0.2 million general revenue savings
 - Department plans to begin billing Medicaid in March

Changes to Enacted

	FY 2013		FY 2014	
<i>(Millions)</i>	Gen Rev	Total	Gen Rev	Total
<i>Enacted</i>	\$152.6	\$216.6	\$152.6	\$216.6
Placements	0.9	(1.8)	0.7	(2.8)
<i>Staffing</i>	<i>(1.3)</i>	<i>(1.5)</i>	<i>0.5</i>	<i>1.1</i>
Juvenile Corrections	0.1	0.3	(0.1)	(0.2)
Other	(0.2)	(0.6)	(0.9)	(3.4)
Total	(\$0.6)	(\$3.6)	\$0.3	(\$5.3)
<i>Governor Rec.</i>	\$152.0	\$213.1	\$152.9	\$211.3

Staffing

Full-Time Equivalent Positions

Full-Time Positions	FTEs	Change to Enacted
Enacted Authorized	665.5	-
FY 2013 Request	670.5	5.0
FY 2014 Governor	671.5	6.0
FY 2012 Ave. Filled	627.5	(38.0)
Filled as of March 23	580	(85.5)

Staffing

	FY 2013 Enacted	FY 2013 Gov. Rev.	FY 2014 Gov. Rec.	Chg
Central Management	38.0	45.0	44.0	6.0
Behavioral Health	17.0	22.0	22.0	5.0
Child Welfare	382.5	384.0	384.0	1.5
Juvenile Corrections	228.0	220.5	220.5	(7.5)
Total FTEs	665.5	671.5	670.5	5.0

Staffing: Changes to Enacted

<i>(Millions)</i>	FY 2013		FY 2014	
	Gen Rev	Total	Gen Rev	Total
Central Management	\$0.4	\$0.2	\$0.4	\$0.2
Behavioral Health	(0.3)	0.1	(0.2)	0.5
Child Welfare	(0.8)	(1.5)	0.9	0.6
Juvenile Corrections	(0.8)	(0.8)	(0.7)	(0.7)
Total	(\$1.5)	(\$2.0)	\$0.4	\$0.6

Staffing: Central Management

- FY 2013 Revised: \$0.2 million more than enacted including \$0.4 million more from general revenues
 - 7.0 more positions than enacted for System of Care administration
- FY 2014: \$0.2 million more than enacted including \$0.4 million more from general revenues
 - 1.0 chief financial officer shifted to the Office of Health and Human Services

Staffing: Behavioral Health

- Governor includes 5.0 more positions than enacted in FY 2013 and FY 2014
 - Administrative and licensing positions funded through SAMSHA grant
 - FY 2013 Revised: \$0.8 million less than enacted, primarily from general revenues
 - FY 2014: \$0.5 million less than enacted, primarily from general revenues

Staffing: Child Welfare

- FY 2013 and FY 2014: 1.5 more positions
 - 1.0 licensing specialist – Race to the Top
 - 0.5 casework supervisor – general revenues
- FY 2013 Revised: \$1.5 million less than enacted, \$0.8 million less from general revenues
 - Primarily reflects vacant positions
- FY 2014: \$1.5 million less than enacted, \$0.9 million more from general revenues
 - Primarily due to a reduction in available Title IV-E funding

Changes to Enacted

	FY 2013		FY 2014	
<i>(Millions)</i>	Gen Rev	Total	Gen Rev	Total
<i>Enacted</i>	\$152.6	\$216.6	\$152.6	\$216.6
Placements	0.9	(1.8)	0.7	(2.8)
Staffing	(1.3)	(1.5)	0.5	1.1
<i>Juvenile Corrections</i>	<i>0.1</i>	<i>0.3</i>	<i>(0.1)</i>	<i>(0.2)</i>
Other	(0.2)	(0.6)	(0.9)	(3.4)
Total	(\$0.6)	(\$3.6)	\$0.3	(\$5.3)
<i>Governor Rec.</i>	\$152.0	\$213.1	\$152.9	\$211.3

Staffing: Juvenile Corrections

- Institutional Services
- Educational Services
- Probation and Parole

	FY 2013	FY 2013	FY 2014	
(Millions)	Enacted	Gov. Rec.	Gov. Rec.	Change
Gen Rev	\$30.2	\$26.9	\$26.9	(\$3.3)
Fed Funds	1.2	0.7	0.3	(0.9)
Total	\$31.5	\$27.6	\$27.2	(\$4.3)

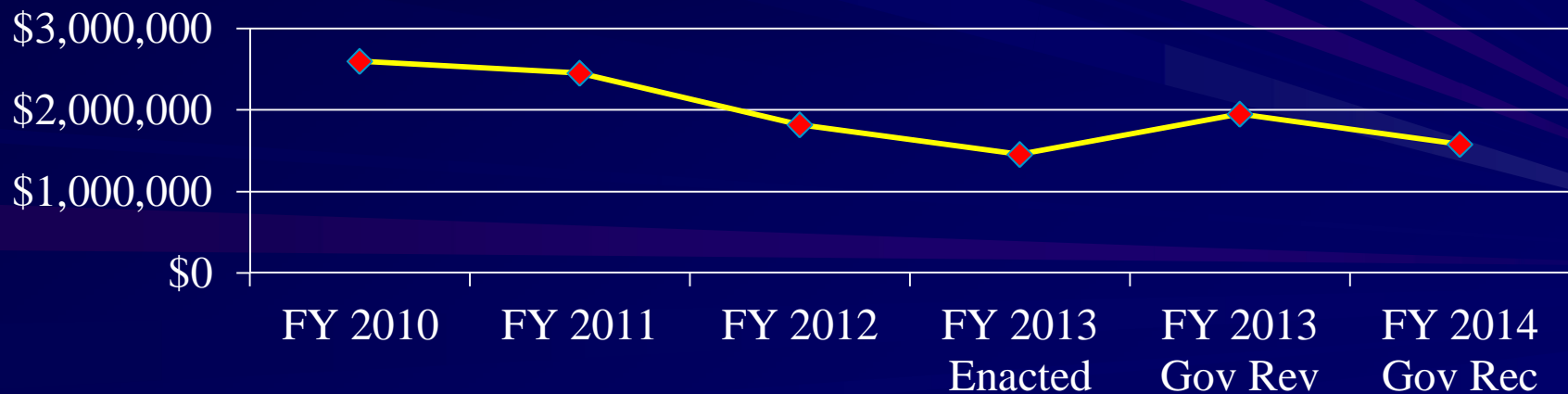
Training School: Staffing

- FY 2013 and FY 2014: Governor includes \$17.3 million from general revenues for 169.5 positions
 - 31.5 education positions
 - 138 juvenile program workers and support staff
 - 7.5 fewer positions than enacted
- \$0.5 million less than enacted from general revenues
 - Based on 25.8 vacancies
 - Includes \$0.2 million more for overtime

Training School: Overtime

- Overtime Expenses - Governor includes \$1.6 million or \$0.2 million more than enacted
 - Staffing Required:
 - 3 Juvenile Program Workers on day shifts
 - 2 Juvenile Program Workers on the overnight shift

Overtime



Training School

<i>Millions</i>	FY 2013 Enacted	FY 2014 Gov. Rec.	Change
Institutional Services			
Salaries and Benefits	\$13.4	\$13.5	\$0.1
Other	2.8	3.0	0.2
Subtotal	\$16.2	\$16.5	\$0.3
Educational Services			
Salaries and Benefits	\$4.4	\$3.7	(\$0.7)
Other	0.4	0.5	0.1
Subtotal	\$4.8	\$4.2	(\$0.6)
Total	\$21.0	\$20.7	(\$0.3)

Training School

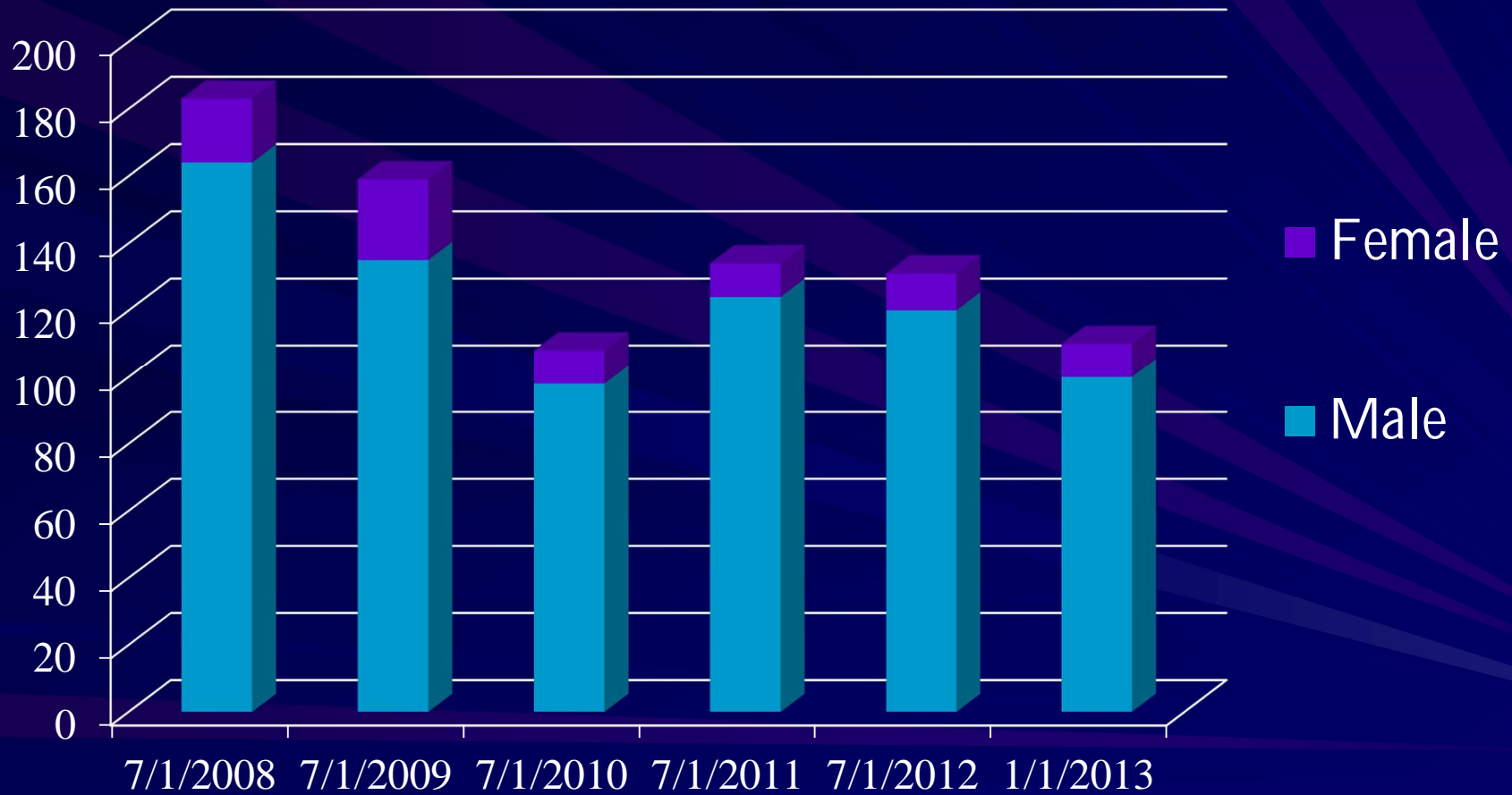
- Salaries and benefits are 81% of costs
 - Teachers
 - Juvenile Program Workers
 - Social Workers
- Remaining 19% is spent on education expenses, rehabilitative services, medical services, counseling
- Annual cost per youth: \$186,381 (assuming 110)
 - \$174,120 in FY 2012 with average census of 122
 - Cost per youth rose as the result of fixed teacher costs

Training School

	FY 2012	FY 2013
Placements	Actual	Average
Average	122	110*
Average Annual Cost Per	\$174,129	\$186,381

** Average Daily Placements through March 13, 2013*

Training School: Population



Training School

- DCYF's Constrained request included \$2.1 million less than enacted from general revenues for juvenile corrections
 - DCYF would charge local school districts
 - All juvenile corrections youth
 - \$13,320 per year
 - Based on 161 youth in care in Juvenile Corrections
 - The Governor did not recommend this initiative

Probation and Parole

- Governor includes \$5.1 million for 51 positions
 - Does not assume any turnover savings
 - All general revenues
 - Residential services shifted to System of Care

(Millions)	FY 2013 Enacted	FY 2014 Gov. Rec.	Change
Salaries and Benefits	\$5.3	\$5.1	(\$0.2)
All Other	5.2	1.7	(3.5)
Total	\$10.5	\$6.8	(\$3.7)

Changes to Enacted

	FY 2013		FY 2014	
<i>(Millions)</i>	Gen Rev	Total	Gen Rev	Total
<i>Enacted</i>	\$152.6	\$216.6	\$152.6	\$216.6
Placements	0.9	(1.8)	0.7	(2.8)
Staffing	(1.3)	(1.5)	0.5	1.1
Juvenile Corrections	0.1	0.3	(0.1)	(0.2)
<i>Other</i>	<i>(0.2)</i>	<i>(0.6)</i>	<i>(0.9)</i>	<i>(3.4)</i>
Total	(\$0.6)	(\$3.6)	\$0.3	(\$5.3)
<i>Governor Rec.</i>	\$152.0	\$213.1	\$152.9	\$211.3

Operations Expenses

- FY 2013: Governor recommends \$1.3 million less than enacted from all sources for operations expenses
 - Facility rental, IT upgrades, overhead, legal expenses and other office expenses from all sources
 - \$0.2 million less from general revenue
- FY 2014: Governor recommends \$2.2 million less than enacted from all sources:
 - \$0.9 million less from general revenues

Other Operations

- The Governor includes \$1.0 million less than enacted to reflect general revenue savings
 - \$0.5 million less for accreditation
 - RIGL 42-72-5.3 – plan due by July 1, 2012
 - Plans to pursue accreditation in FY 2015
 - \$0.3 million less from closing Bristol regional office
 - Staff would be transferred to Providence office
 - \$0.2 million less for in-state mileage
- Offset by \$0.1 million more for legal services associated with lawsuit

RICAP Projects

<i>Project</i>	FY 13 Enacted	FY 13 Gov. Rev.	FY 14 Gov. Rec.
RITS – Repairs	\$0.2	\$0.9	\$ -
RITS – Generators	0.4	0.4	-
RITS – Vocational	-	0.3	-
RITS – Maintenance	\$0.5	-	\$0.5

RICAP Projects

<i>Project</i>	FY 13 Enacted	FY 13 Gov. Rev.	FY 14 Gov. Rec.
NAFI	\$0.5	\$0.7	\$0.3
Groden – Façade	0.3	-	0.3
Groden – Towers	0.3	0.3	-
Groden – Other	0.1	0.1	-
Fire Code Upgrades	0.5	0.8	0.5
Total	\$2.8	\$3.5	\$1.6

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